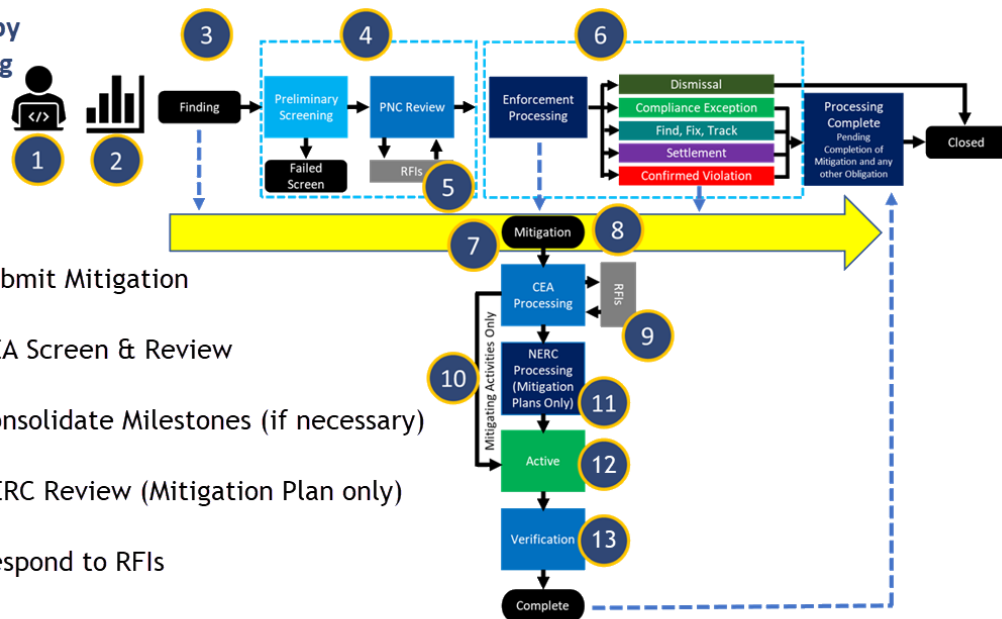


# Process Flow

Identify each step on the process chart by matching its number to its corresponding description in the list below.

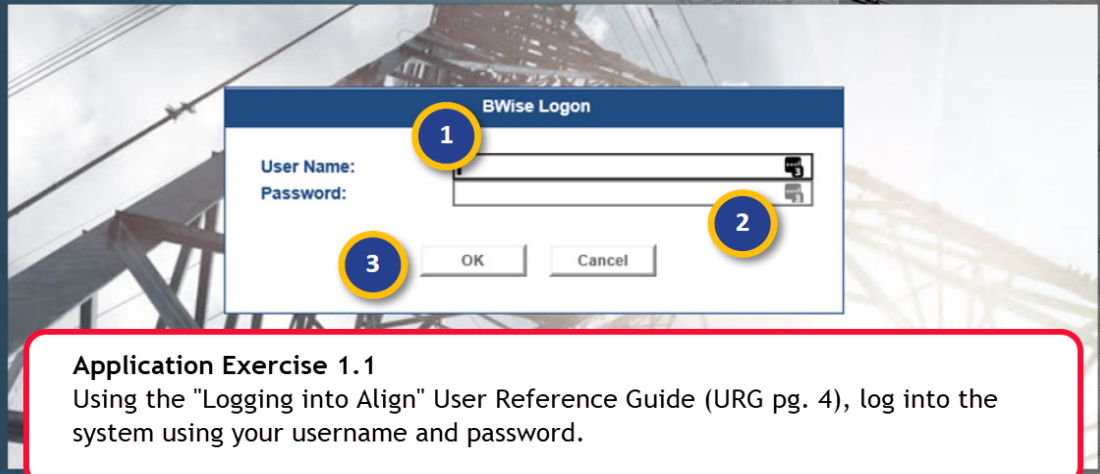
- |                              |   |
|------------------------------|---|
| 1 Submit Extension Request   | 4 Submit Mitigation                     |
| 2 CEA assigns Disposition    | 5 CEA Screen & Review                   |
| 3 Review the Dashboard       | 6 Consolidate Milestones (if necessary) |
| 4 Complete Milestones        | 7 NERC Review (Mitigation Plan only)    |
| 5 Create a Finding           | 8 Respond to Mitigation RFIs            |
| 6 Respond to Mitigation RFIs | 9 Respond to RFIs                       |
| 7 Log into Align             | 10 Update/Resubmit mitigation           |



# Accessing Align

From the **Align Log-in Page**

- 1 Enter your **Align User Name**
- 2 Enter your **Align Password**
- 3 Click the **OK** button



The screenshot shows a 'BWISE Logon' dialog box. Step 1 points to the 'User Name:' text label. Step 2 points to the password input field. Step 3 points to the 'OK' button. The dialog box has a title bar 'BWISE Logon' and two buttons at the bottom: 'OK' and 'Cancel'.

## Application Exercise 1.1

Using the "Logging into Align" User Reference Guide (URG pg. 4), log into the system using your username and password.



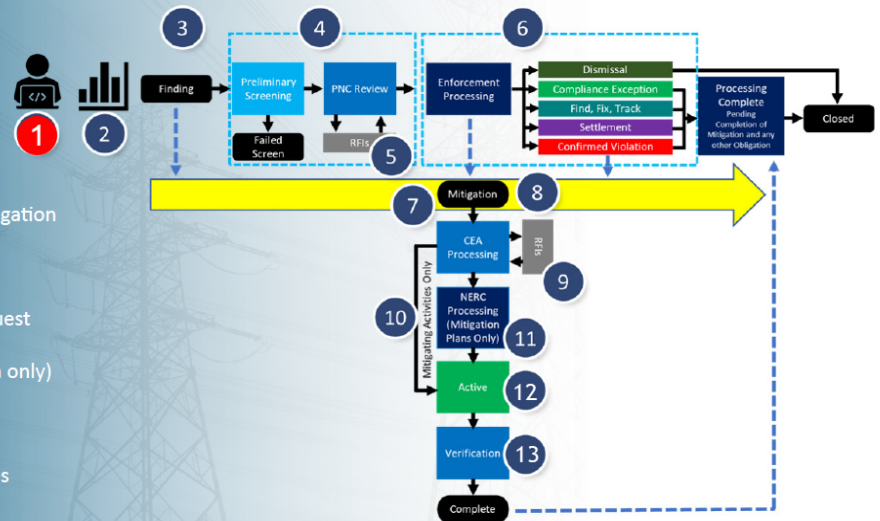
Mouse over for more information

# Release 1 Process Flow

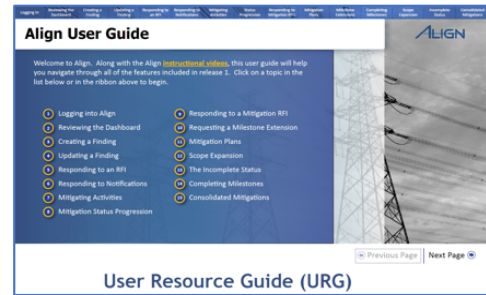


The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |   |
|---------------------------|---|
| ① Log in to Align         | ⑧ Update/Resubmit mitigation            |
| ② Review the Dashboard    | ⑨ Respond to RFIs                       |
| ③ Create a Finding        | ⑩ Submit extension request              |
| ④ CEA Screen & Review     | ⑪ NERC review (Mit Plan only)           |
| ⑤ Respond to any RFIs     | ⑫ Complete milestones                   |
| ⑥ CEA assigns Disposition | ⑬ Consolidate milestones (if necessary) |
| ⑦ Submit mitigation       |   |



# Align Learning Resources



**NERC**  
NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

**ALIGN**

# Training session structure

Create a Self-Report

Applicable Requirement: CIP-002-5.1a R2.  
Applicable Part(s): CIP-002-5.1a R2.1. x CIP-002-5.1a R2.2. x  
Applicable Reliability\* Function(s): GO x

Region - Jurisdiction in which the Potential Noncompliance occurred: RF-US  
Other Region - Jurisdiction(s) where you are reporting this Potential Noncompliance:

Discovery and Description

When was the Potential Noncompliance discovered? 07/07/2020  
How was this Potential Noncompliance discovered? Explanation  
Please describe the Potential Noncompliance in detail: kdhjrtghztrhsh

When did the Potential Noncompliance start? 07/01/2020  
What is the basis for your selecting this start date? Explanation  
Is the Potential Noncompliance still occurring? Yes

Save Close



Breaks

Form filling with nonsensical data



WebEx or other virtual training application

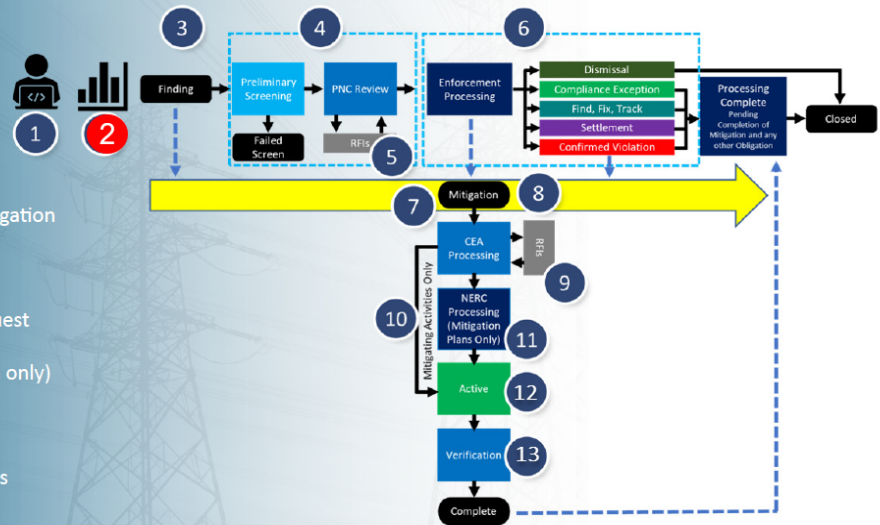
**NERC**  
NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

**ALIGN**

# Release 1 Process Flow

The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

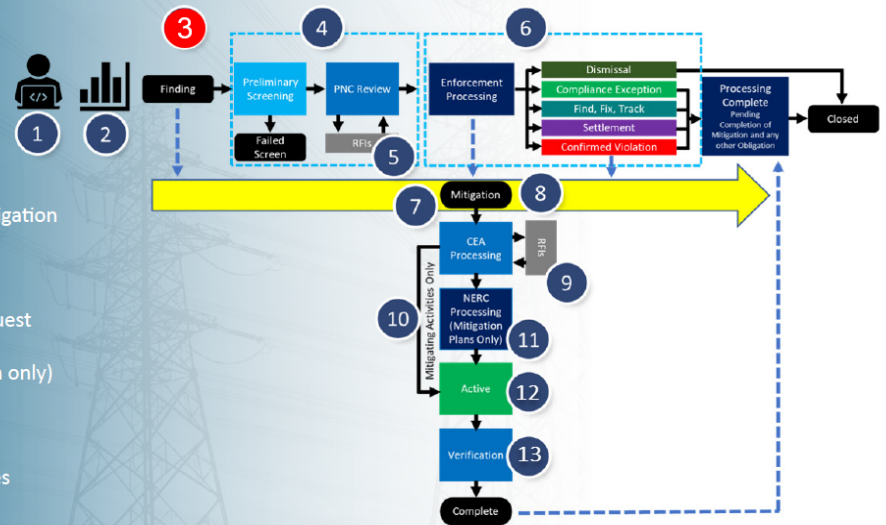
- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFI's    | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |



# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.



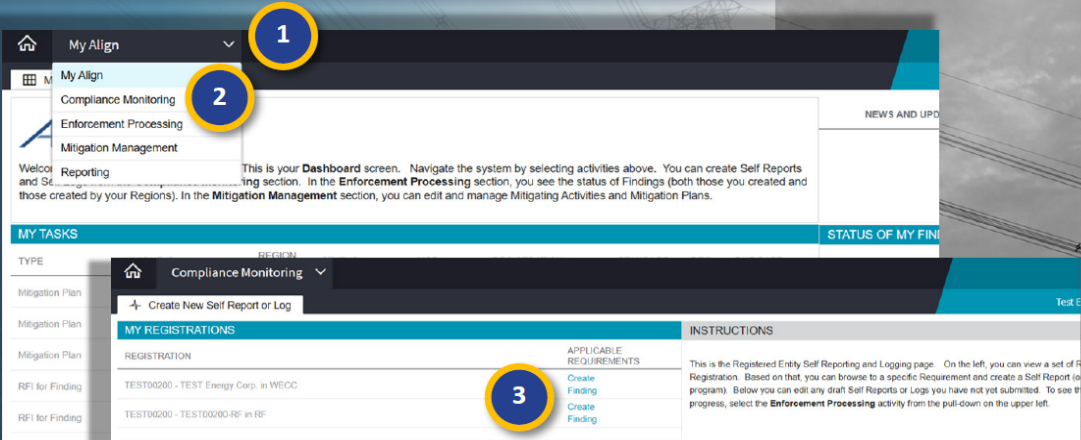
- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |

# Creating a Finding



To create a **finding** in Align, navigate to the Compliance Monitoring View

- 1 Click the **dropdown arrow**
- 2 Select the **Compliance Monitoring** view
- 3 Click **Create Finding**



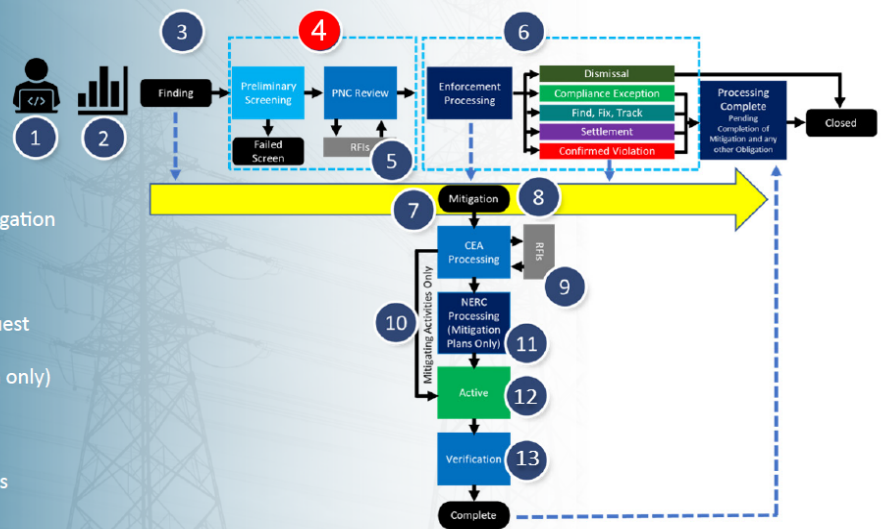
**Application Exercise 1.3**  
Using the "Create a Finding" User Reference Guide (URG pg. 8-20), create and submit a self-report on the Align platform.

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |



# Updating a Finding (Sorting)



- 1 From the Dashboard, click the dropdown Arrow to change the view
- 2 Select the **Enforcement Processing** view
- You can sort or filter the 'My Findings' table by any column to help you locate the finding you are looking for:
- 3 To sort over over a column title and click the **dropdown arrow**
- 4 Click the **Sort Ascending** or **Sort Descending** option to rearrange the table by the data in that column

My Align

Compliance Monitoring

Enforcement Processing

Mitigation Management

Welcome and Sign In

This is your Dashboard. It contains all the findings and self-reports created by your Regions. In the Mitigation Management section, you can view and manage your findings.

MY TASKS

TYPE	UNIQUE ID	REGION OR LRE
Mitigation Plan	2020-00105	RF
Mitigation Plan	2020-00120	RF
Mitigation Plan	2020-00112	MPCC
RFI for Finding	2020-00124   20-000076-R	RF
RFI for Finding	2020-00123   20-000089-R	RF
RFI for Mitigation	2020-00122   20-000081-R	RF
Compliance	2020-00103	RF

Enforcement Processing

My Findings

MY FINDINGS

MONITORING METHOD	UNIQUE ID	REGION OR LRE	DATE SUBMITTED	REGISTRATION
Self-Report	2020-00123	RF	05/13/2020	Sort Ascending
Self-Report	2020-00133	RF	05/12/2020	Sort Descending
Self-Report	2020-00126	RF	05/12/2020	Columns
Self-Report	2020-00105	RF	05/11/2020	Filters

Sort Ascending

Sort Descending

Columns

Filters

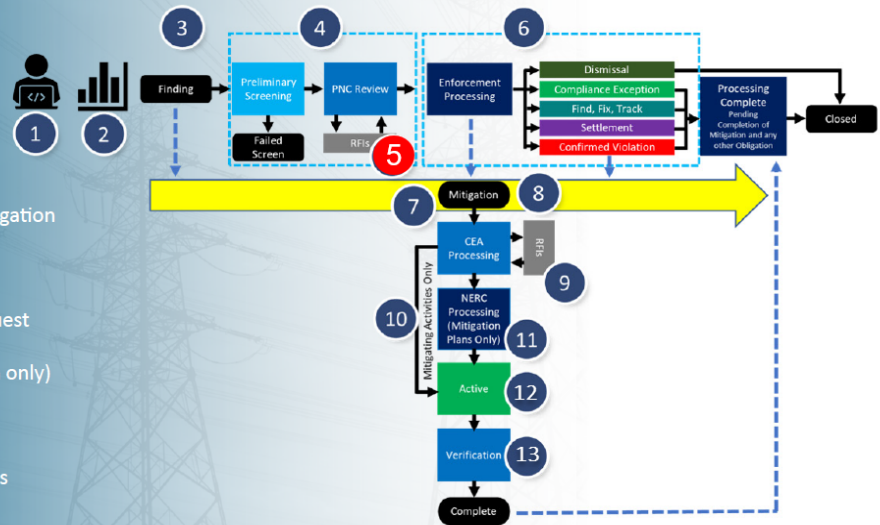
Application Exercise 1.4

Using the "Updating a Finding" User Reference Guide (URG pg. 21-24), add information to an existing self-report on Align.

# Release 1 Process Flow

The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |



## Responding to an RFI

*When your CEA or NERC sends an RFI, you'll see it appear on your dashboard.*

- 1 Click the **Unique ID** of the RFI
- 2 Click the **Respondent Contact** dropdown and select the appropriate name.

The screenshot shows the ALIGN system interface. At the top, there's a navigation bar with 'Align For Entities' and a user profile icon. Below it, a header section contains the ALIGN logo and a welcome message. The main content area is divided into two tabs: 'MY TASKS' and 'STATUS OF MY FINDINGS'. The 'MY TASKS' tab is active, showing a list of tasks. A red box highlights the 'Request for Information' section, and a yellow circle with the number '2' highlights the 'Respondent Comments' dropdown menu.

**Application Exercise 1.5**  
Using the User Reference Guide (URG pg. 25-26), respond to the RFI on the finding you created in module 1.3.



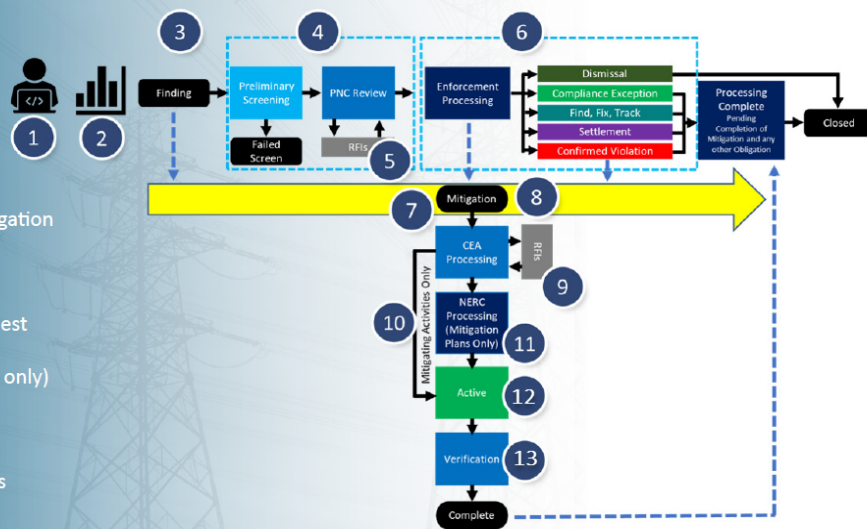
*Mouse over for more information*

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |



My Align

Align For EndTime

My Dashboard and Tasks

Align

Welcome to Release 1 of the Align system. This is your Dashboard screen. Navigate the system by selecting activities above. You can create Self Reports and Self Logs from the Compliance Monitoring section. In the Enforcement Processing section, you see the status of Findings (both those you created and those created by your Regions). In the Mitigation Management section, you can edit and manage Mitigating Activities and Mitigation Plans.

MY TASKS

TYPE	UNIQUE ID	REGION OR LRE	STATUS	NCR	REGISTRATION	STANDARD	REQ	DUE DATE
Dismissal Letter	2020-00176	MRO	Awaiting your Response	NCR99999	Test Entry	CIP-002-5.1a	R1	
Expedited Settlement Agreement	2020-00114	MRO	Awaiting your Response	NCR99999	Test Entry	BAL-005-1	R5	
Final Settlement Agreement	2020-00175	MRO	Awaiting your Response	NCR99999	Test Entry	CIP-002-5.1a	R1	
Find Fix Track Letter	2020-00165	MRO	Awaiting your Response	NCR99999	Test Entry	BAL-005-1	R7	
NARAPS	2500		Awaiting your Response	NCR99999	Test Entry	CIP-002-5.1a	R1	
Compliance Exception Letter	2020-00175	MRO	Awaiting your Response	NCR99999	Test Entry	CIP-002-5.1a	R1	02/28/2020
RFI for Finding	2020-00272   20-000234-R	MRO	Awaiting your Response	NCR99999	Test Entry	CIP-003-7	R1	04/10/2020

STATUS OF MY FINDINGS

Settlement

Processing Complete

Preliminary Screening

PNC Review

Find, Fix, Track

Failed Screen

Enforcement Processing

Dismissal

11/21/2019 | End to End Testing is in Progress

Thank you in advance for your hard work and align. (hover for details)

01:57

10/27/19 8/11/2020

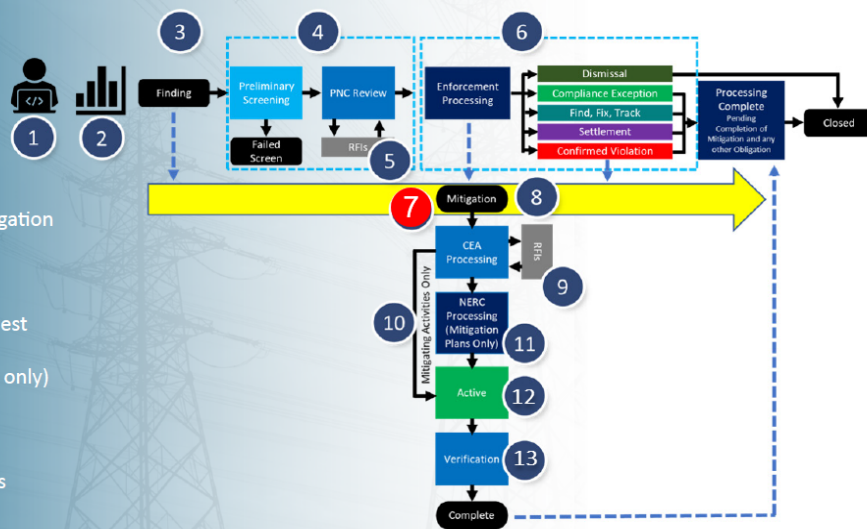
8) RESPONDING TO NOTIFICATION LETTERS (1M 57S)

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFI's    | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |





# Submitting Mitigating Activities



- 15 Select the **Submit for CEA Review** option from the Action dropdown
- 16 If you are ready to submit the mitigating activities, click the **Save and Action** button
- 17 If you want to save the data you have entered without submitting, click the **Save** button

Mitigation Milestones

<input type="checkbox"/>	MILESTONE ID	TYPE OF MILESTONE TASK	MILESTONE NAME	PLANNED COMPLETION D...	REVISED PLANNED COMP...	ACTUAL COMPLETION DATE
<input type="checkbox"/>		Corrective Control	Task 1	06/30/2020		

Instructions

When ready for Region Review and Approval, Submit this Mitigation by selecting the "Submit for CEA Review" Action and clicking "Save and Action" below.

Action

Submit for CEA Review

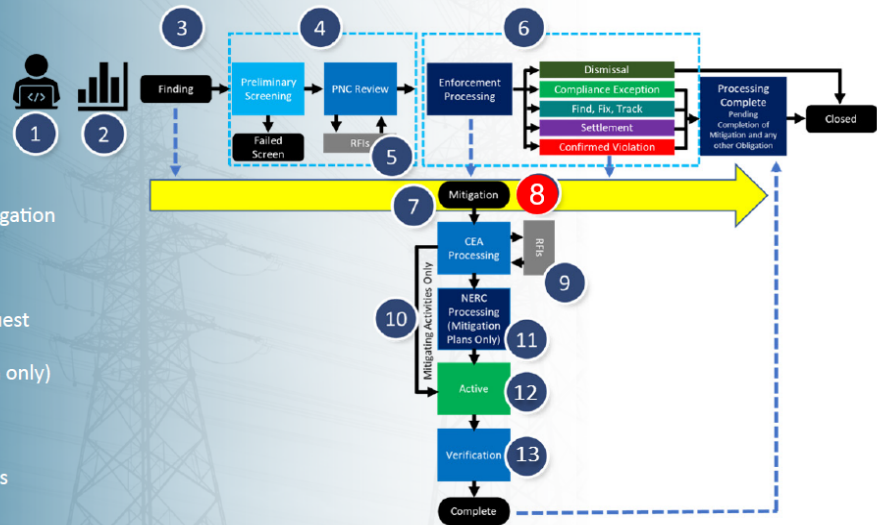
2023-08-04 10:04:06 -04:00

**Application Exercise 1.7**  
Using the User Reference Guide (URG pg. 28-33), update and resubmit your mitigation activities.

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.



- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFI's                       |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFI's    | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |

# Updating Mitigations: Mitigation RFIs



If your CEA or NERC (for Mit Plans) needs more information to complete their review of your mitigation, they may send an **RFI for Mitigation**. To respond:

- 1 Click the **Unique ID** of the **RFI for Mitigation** on dashboard
- 2 Select a **Respondent Contact** from the dropdown and enter any comments into the **Respondent Comments** field
- 3 Upload any evidence into the **Evidence Locker** using the reference number provided
- 4 Click the **Save and Action** button to submit your response

My Align

My Dashboard and Tasks

ALIGN

Welcome to Release 1 of the Align system! The and Self Logs from the Compliance Monitoring those created by your Regions). In the Mitigation

MY TASKS

TYPE	UNIQUE ID
Mitigation Plan	2020-00105
Mitigation Plan	2020-00120
Mitigation Plan	2020-00112
RFI for Finding	2020-00124   20-000076-R
RFI for Finding	2020-00123   20-000080-R
RFI for Mitigation	2020-00122   20-000081-R

2020-00105 | 20-000086-R

Request for Information

Original Finding2020-00108

RequestorMRO Editor 1

Requestor CommentsPlease upload the data we discussed in the evidence locker

Requestor Attachments

Request Sent OnMay 18, 2020

Response Due ByJune 17, 2020

Respondent ContactNCR0999999 Editor 1

Respondent Comments

2

Evidence and Attachments

Data Locker Instructions

Submit Evidence or Attachments related to this Request via [Data Locker](#) with the following reference number:  
**2020-00108**  
If you are hosting your own Data Locker, please provide a hyperlink to your locker in the comment section above.

3

Action

Acknowledge/Respond

Save your action and acknowledge receipt of this Request and respond with any response you have provided. Save will store any response you have provided, but will neither acknowledge

**Application Exercise 1.8**  
Using the User Reference Guide (URG pg. 34-35), update and resubmit your mitigation activities.

# Updating Mitigations: Milestone Extension Requests



To request a mitigation milestone extension:

1. Navigate to your **My Active Mitigations**
2. Click on the relevant **mitigation record** to highlight it (do not click the Unique ID)
3. Click the **+** button in the 'Request Extension' column for the milestone you need to extend

Mitigation Management
My Active Mitigations

TYPE	UNIQUE ID	ISSUE OR LINE	DESCRIPTION	DATE SUBMITTED	COMPLETION DATE	STATUS	CD	MITIGATION JURISDICTION	ONSET DATE	STATUS
Mitigation Plan	2023-03-01	MRO	Groundwater Contamination Mitigation MRO	01/01/2023	01/01/2023	CR-001-S	12	MNO-101	01/01/2023	Active
Mitigation Plan	2023-03-02	RFI	Groundwater Contamination Mitigation RFI	01/01/2023	01/01/2023	CR-002-S	14	RFI-101	01/01/2023	Completed
Mitigation Plan	2023-03-03	MRO	Groundwater Contamination Mitigation MRO	01/01/2023	01/01/2023	CR-003-S	16	MNO-102	01/01/2023	Active
Mitigation Plan	2023-03-04	RFI	Groundwater Contamination Mitigation RFI	01/01/2023	01/01/2023	CR-004-S	18	RFI-102	01/01/2023	Completed
Mitigation Plan	2023-03-05	MRO	Groundwater Contamination Mitigation MRO	01/01/2023	01/01/2023	CR-005-S	20	MNO-103	01/01/2023	Active
Mitigation Plan	2023-03-06	RFI	Groundwater Contamination Mitigation RFI	01/01/2023	01/01/2023	CR-006-S	22	RFI-103	01/01/2023	Completed
Mitigation Plan	2023-03-07	MRO	Groundwater Contamination Mitigation MRO	01/01/2023	01/01/2023	CR-007-S	24	MNO-104	01/01/2023	Active
Mitigation Plan	2023-03-08	RFI	Groundwater Contamination Mitigation RFI	01/01/2023	01/01/2023	CR-008-S	26	RFI-104	01/01/2023	Completed

DETAILS FOR RECORD 5

TYPE OF MITIGATION	UNIQUE ID	NAME	DATE OFTE	COMPLETION DATE	REQUEST FOR EXTENSION	DATE OFTE	CD	COMMENT
Derivative Finding	2023-03-07	Task 1	01/01/2023		+	01/01/2023	12	For response to be reviewed
Derivative Finding	2023-03-07	Task 2	01/01/2023		+	01/01/2023	14	
Derivative Finding	2023-03-07	Task 3	01/01/2023		+	01/01/2023	16	

## Application Exercise 1.9

Using the User Reference Guide (URG pg. 38-40 ), update an active mitigation with an extension request.



Mouse over for more information



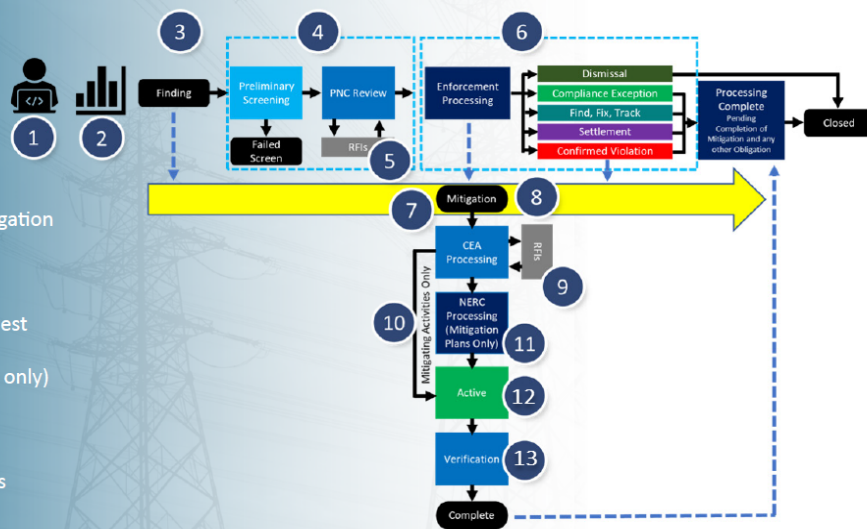
Previous Page

Next Page

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.



- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |

# Updating Mitigations: Incomplete Status



If your CEA verifies your mitigation as complete, it will show up as **Complete (a)** on your 'My Active Mitigations' tab. If not, your CEA will send it back for you to add what is missing, and it will show up as **Incomplete (b)**.

Mitigation Management										
Align For Entities										
Test Entity Editor 1 Test Energy Co.										
MY MITIGATING ACTIVITIES AND MITIGATION PLANS										
TYPE	UNIQUE ID	REGION OR LITE	REGISTRATION	DATE SUBMITTED	COMPLETION DATE	STANDARD	REQ	REGION AND JURISDICTION	START DATE	STATUS
Mitigating Activities	2020-00124	RF	TEST00200 - TEST00200-RF in RF	05/13/2020	07/15/2020	PRC-005-6	R3	RF-US	04/02/2020	Verification
Mitigating Activities	2020-00123	RF	TEST00200 - TEST00200-RF in RF	05/14/2020	05/14/2020	PRC-005-6	R3	RF-US	04/02/2020	<b>a</b> Complete
Mitigating Activities	2020-00104	RF	TEST00200 - TEST Energy Corp. in WECC	05/17/2020		PRC-005-6	R3	WECC-US	04/16/2020	CEA Processing (Due 06/17/2020)
Mitigating Activities	2020-00125	RF	TEST00200 - TEST00200-RF in RF	05/12/2020		PRC-005-6	R3	RF-US	04/02/2020	<b>b</b> Incomplete (Retested as Incomplete CEA Processing Due 06/17/2020)
Mitigation Plan	2020-00121	RF	TEST00200 - TEST00200-RF in RF	05/19/2020		PRC-005-6	R3	RF-US	04/02/2020	

- 1 Click the **Unique ID** of the **Incomplete** mitigation
- 2 Scroll down to the **Verification** section and read the CEA's comments
- 3 Update the mitigation according to the comments and resubmit by clicking the **Save and Action** button

## Verification

### CEA Verification Action

Verification Comments Shared with Entity

Missing a completion date

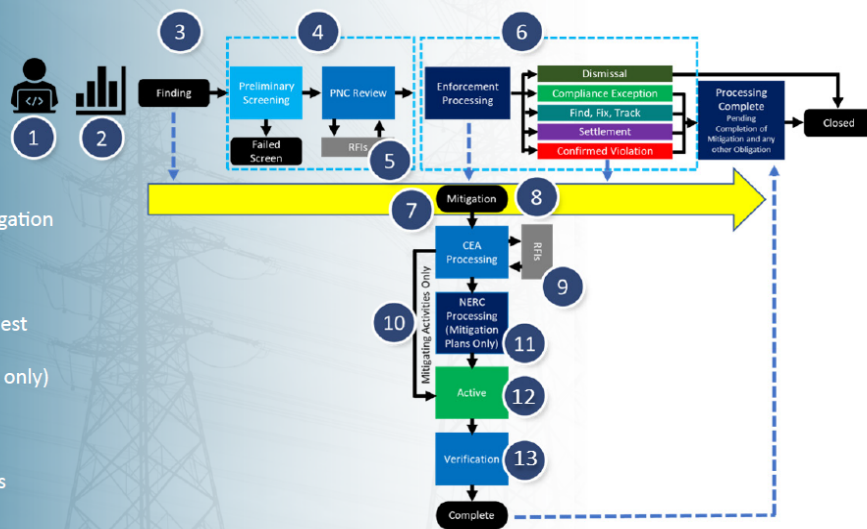
## Application Exercise 1.10

Using the User Reference Guide (URG pg. 42), submit a mitigation entry with an "incomplete" status for verification.

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.



- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |

SolutionApp

nerc-uat.bwise.net/bwise/page/solution-app/#solution/RE/Registered\_Entity\_Acts\_MM/DraftMits

Apps Bookmarks Emory University ... Tuning Account DSC NERC Training Tuning Account PHE-view Page Common JS Interface Which protocols for... Lectures & Present... Morguette free ph... About The Licenses... Commons/Welcome

Mitigation Management

2020-00087

Align For EndUser

MY DRAFT MITIGATIONS

TYPE UNIQUE ID

Mitigation Plan 2020-00087

Mitigation Plan 2020-00089

Mitigation Plan 2020-00082

General Information

Type Mitigation Plan Related PNC 2020-00087 CEA MRO

Registration NCR9999999 - Second Game Day Friday Entity in MRO

Applicable Requirement CIP-004-6 R2

Applicable Part(s) CIP-004-6 R2 Part 2, CIP-004-6 R2 Part 1, CIP-004-6 R2 Part 3

Applicable Reliability Function(s) RC

Region-Jurisdiction(s) in which the Potential Noncompliance occurred MRO-US

Registered Entity Contact Please provide a point of contact for this mitigation.

FIRST NAME LAST NAME

This table is empty

Review Results and Due Date

Region Review Results Submit as Mitigation Plan NERC Review Results

CEA Comments Comments for the entity ... LOOK AT ME!! NERC Comments

New Submission Due Date

Root Cause and Interim Risk Reduction

Root Cause Not available; PNC Review not yet complete.

Interim Risk Reduction

03:59

Save and Action Save Close

Mitigation Process Summary

Mitigation

CEA Processing

NERC Processing (Mit Plan Only)

Action

Verification

Complete

## 15) MITIGATION PLANS (3M 59S)

# Updating Mitigations: Submitting Mitigation Plans

ALIGN

If your CEA decides that the **Mitigating Activities** you submitted need to be converted into a **Mitigation Plan**, they will send it back to you to resubmit.

To open the **Mitigation Plan**, EITHER:

- 1

Click the **Unique ID** of the **Mitigation Plan** on the dashboard
- ...OR...
- 2

Navigate to the **My Draft Mitigations** tab and click the **Unique ID** of the Mitigation Plan

2020-00152

General Information

Type	Mitigation Plan	Related PNC	2020-00152	CEA	MRO
Registration	NCR9999999 - Second Game Day Friday Entity in MRO				
Applicable Requirement	CIP-008-5 R3.	Registered Entity Contact	Please provide a point of contact for this mitigation.		
Applicable Part(s)	CIP-008-5 R3. Part 2.	<input type="checkbox"/>	FIRST NAME	LAST NAME	
Applicable Reliability Function(s)	RC	This table is empty			
Region-Jurisdiction(s) in which the Potential Noncompliance occurred	MRO-US				

1

Review Results and Due Date

Region Review Results

CEA Comments

My Draft Mitigations

My Active Mitigations

Consolidated Mitigations

Application Exercise 1.11

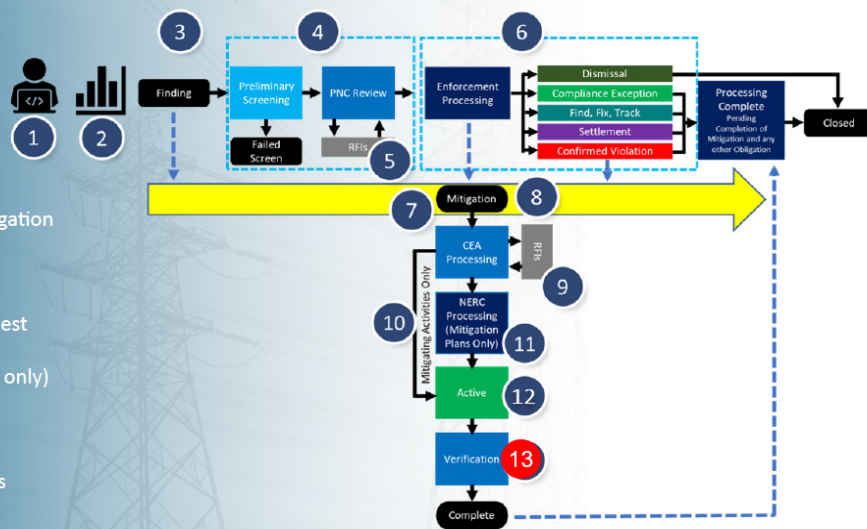
Using the User Reference Guide (URG pg. 36-37), identify your mitigation plan and submit it for CEA processing.

# Release 1 Process Flow



The focus of release 1 is the life cycles of self-logs and self-reports, but there are interim ways to handle other kinds of findings as well; at a very high level the scope looks something like the model on the right.

- |                           |  |
|---------------------------|--|
| 1 Log in to Align         | 8 Update/Resubmit mitigation             |
| 2 Review the Dashboard    | 9 Respond to RFIs                        |
| 3 Create a Finding        | 10 Submit extension request              |
| 4 CEA Screen & Review     | 11 NERC review (Mit Plan only)           |
| 5 Respond to any RFIs     | 12 Complete milestones                   |
| 6 CEA assigns Disposition | 13 Consolidate milestones (if necessary) |
| 7 Submit mitigation       |  |



SolutionApp

neic-uat.bwise.net/bwise/page/solution-app/#solution/RE/Registered\_Entity\_MM/DraftMis

Apps Bookmarks Empty University... Tuning Account DSC NERC Training Tuning Account PHS-view Page Common JS Interface Which protocols for... Lectures & Present... Morguefile free ph... About The Licenses... Commons Welcom...

Mitigation Management

My Draft Mitigations

TYPE UNIQUE ID

Mitigation Plan 2020-00007

Mitigation Plan 2020-00003

Mitigation Plan 2020-00002

2020-00087

Root Cause and Interim Risk Reduction

Root Cause

Interim Risk Reduction

Mitigation Milestones

	MI	LESTONE ID	TYPE OF MILESTONE TASK	MI	LESTONE NAME	PLANNED COMPLETION D...	REVISED PLANNED COMP	ACTUAL COMPLETION DATE
<input type="checkbox"/>		2020-000000	Corrective Control		task 1	05/11/2020		
<input type="checkbox"/>		2020-000051	Defective Control		task 2	10/04/2020		
<input type="checkbox"/>		2020-000122	Preventative Control		Task 1b	07/00/2020		
<input type="checkbox"/>			Corrective Control		Task 3	07/28/2020		

Requests for Information

RFI ID	REQUESTOR CONTACT	RESPONDENT CONTACT	RESPONSE DUE BY
20-000064-R	WRO Editor 1	Mark Laidy	06/03/2020

Instructions When ready for Region Review and Approval, Submit this Mitigation by selecting the "Submit for CEA Review" Action and clicking "Save and Action" below:

Action

Save and Action Save Close

Align For End...

NEC00000000

Mitigation Management Screens show you all your draft Mitigation Plans that have been returned to you for review. The Draft Mitigation Plan (both indicated with a yellow icon) shows you all your draft Mitigation Plans that have been submitted. Below is Mitigation process.

Mitigation Process Summary

Mitigation

CEA Processing

NERC Processing (Mit Plan Only)

Action

Verification

Complete

04:29

16) CONSOLIDATIONS (4M 29S)

# Managing Consolidated Mitigations

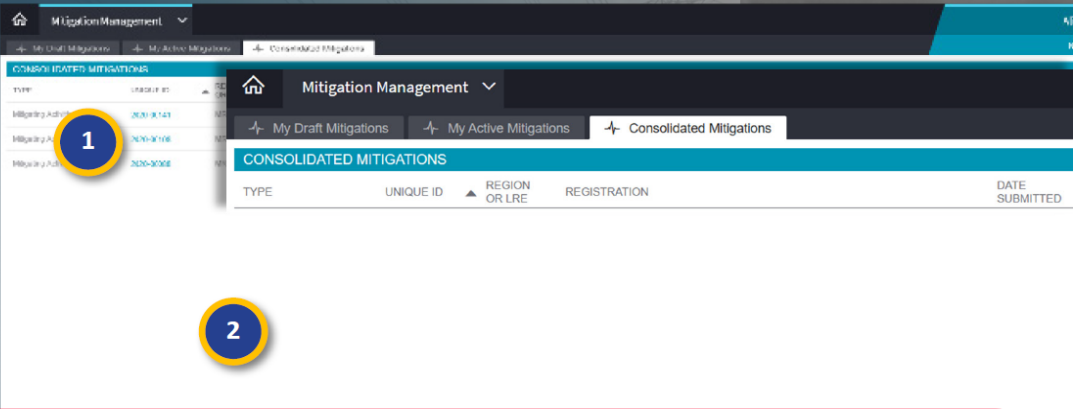


If your CEA consolidates more than one of your findings under the same Enforcement Action, only one of the mitigations associated with those findings will stay active. The rest will move to the **Consolidated Mitigations** tab.

- 1

Click the **Unique ID** of any consolidated mitigation to review
- 2

All consolidated mitigations are read-only, so you cannot edit anything at this point. However, Align preserves the mitigation in case you need to copy any information from the consolidated mitigation into the active mitigation



## Application Exercise 1.12

Using the User Reference Guide (URG pg. 43-44 ), edit a mitigation entry by copying and pasting information from any entry in the consolidated mitigations tab then save or submit the it for CEA review