

Align Release 2

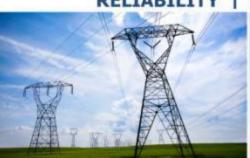
ERO Process Improvements Report

July 1, 2021













- Process harmonization efforts for the following business process areas
 - Cycle 4-Periodic Data Submittals, Technical Feasibility Exceptions, Self Certifications



Cycle 4-Self Certs, PDS and TFE Process Improvements

Topic	Current State	Future State
Self-Certs	 Regions make use of both "traditional" and "guided" self-certs; application of each and tools used varies by region ROP language is based on "traditional" self-certs Self-cert forms vary in content and functionality 	 Establish a single self-cert process, removing the distinction between "guided" and "traditional" self-certs; Regions will have the flexibility to ask clarifying questions and request evidence at time of submission and anytime during the process Clean up ROP language to better reflect current processes Self-certs will be sent to registered entities as a common form containing applicable standards and requirements as defined by the CEA
TFE	 Significant time and effort required to create reports for NERC and FERC Volume of TFEs continues to reduce over time due to revisions to standards 	 A single standardized form to facilitate easier NERC and FERC reporting Simplified TFE process to anticipate the future of TFEs
PDS	 PDS' for standards on the national schedule are submitted through a variety of tools Variety of templates used for PDS' Do not have a method for tracking a "no" or "not applicable" response to PDS as data, which causes extra work for entities and regions (creating, submitting, and reviewing blank spreadsheets/templates) No functionality to account for MRRE and Coordinated Oversight 	 Day 1 implementation will focus on standards where data is currently being submitted in CITS/CDMS; additional standards may be rolled into Align in the future PDS response templates will be standardized where feasible; to be explored further in design Create ability for entities to report "no" or "not applicable" so that it is easily visible/reportable, and can be tracked for future PDS requests to avoid duplicate requests to entities CEAs will be able to send a PDS to all entities for which they are the Lead Region